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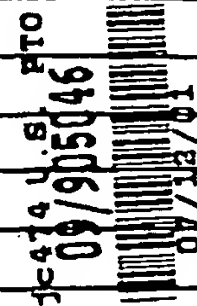
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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	Unassigned	
			Filing Date	Herewith	
			First Named Inventor	Christine Cheng, et al.	
			Group Art Unit	Unassigned	
			Examiner Name	Unassigned	
Sheet	1	of	7	Attorney Docket Number	3801.P042



U.S. PATENT DOCUMENTS					
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate	
0-0	3,581,072	Nymayer	5/25/1971	3/28/1968	
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	4,412,287	Braddock, III	10/25/1983	9/15/1982	
	4,674,044	Kalmus, et al.	4/16/1997	1/30/1985	
	4,677,552	Sibley, Jr.	6/30/1987	10/5/1984	
	4,789,928	Fujisaki	12/6/1988	1/30/1987	
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	5,063,507	Lindsey, et al.	11/5/1991	9/14/1990	
	5,077,665	Sliverman, et al.	12/31/1991	5/25/1989	
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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
0-0	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
0-0	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
0-0	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS			
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).		
0-0	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA		
0-0	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA		
0-0	"Personal Optimized Decision/Transaction Program", <u>IBM Technical Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA		
0-0	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5		

Examiner Signature		Date Considered	5/22/06
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		Number			
0.0		5,136,501 ✓	Silverman, et al.	8/4/1992	5/26/1989
		5,168,446 ✓	Wiseman	12/1/1992	5/23/1989
		5,243,515 ✓	Lee	9/7/1993	10/30/1990
		5,258,908 ✓	Hartheimer, et al.	11/2/1993	11/2/1990
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		5,297,032 ✓	Trojan, et al.	3/22/1994	2/1/1991
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		5,325,297 ✓	Bird, et al.	6/28/1994	6/25/1992
		5,329,589 ✓	Fraser, et al.	7/12/1994	6/3/1993
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		Office or Country	Number	Date			
0.0		Netherland	9300266 ✓	2.10.1993			
0.0		France	2658635-A1 ✓	2.6.1991			
0.0		Canada	2,253,543 ✓	3/19/1997			Yes

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Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
0-6	/	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
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0-0	/	POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10,	
0-0	/	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

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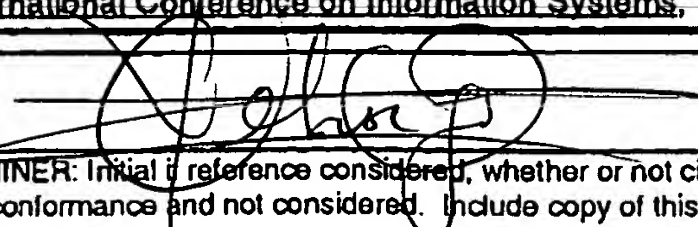
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				Application Number	Unassigned
				Filing Date	Herewith
				First Named Inventor	Christine Cheng, et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	3	of	7	Attorney Docket Number	3801.P042

U.S. PATENT DOCUMENTS					
Examiner Initials *		U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
0-0		5,426,281 -	Abecassis	6/20/1995	10/29/1993
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Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

OTHER DOCUMENTS			
Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
0-0	/	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
	/	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
	/	LEE, G., " Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
0-0	/	CLEMINs, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

Examiner Signature		Date Considered	5/22/06
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				Application Number	Unassigned
				Filing Date	Herewith
				First Named Inventor	Christine Cheng et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	4	of	7	Attorney Docket Number	3801.P042

U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
6-6	5,835,896 ✓	Fisher, et al.	11/10/1998	3/29/1996
6-0	5,845,266 ✓	Lupien, et al.	12/1/1998	12/12/1995
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6-0	5,205,200 ✓	Wright	4/27/1993	6/9/1992

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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OTHER DOCUMENTS

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6-0 ✓	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
6-0 ✓	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
6-0 ✓	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
6-0 ✓	"Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

Examiner Signature		Date Considered	5/22/08
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Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	Unassigned
				Filing Date	Herewith
				First Named Inventor	Christine Cheng, et al.
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	5	of	7	Attorney Docket Number	3801.P042

U.S. PATENT DOCUMENTS				
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OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
0-0	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10	
	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-4	
0-0	RECK, Martin., "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

Examiner Signature		Date Considered	5/22/06
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Substitute for form 1449A/PTO
(Modified by BSTZ 6/30/99)

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Christine CH
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	3801.P042

Sheet	6	of	7
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Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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Examiner Initials *		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
0.0	/	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6	
1	/	LEE, HG., "Aucnet: Electronic Intermediary for Used-Car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5	
	/	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4	
0.0	/	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5	

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				First Named Inventor	Christine Cheng et al.
				Group Art Unit	Unassigned
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Sheet	7	of	7	Attorney Docket Number	3801.P042

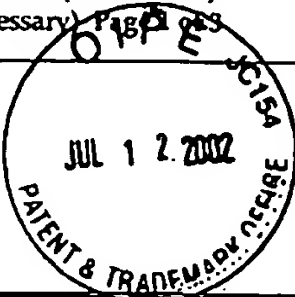
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OTHER DOCUMENTS		
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D.O	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	

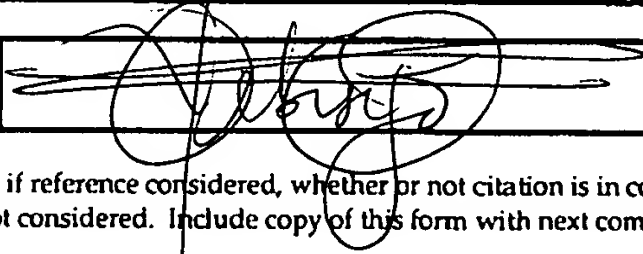
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 3801.P042	Application Number: 09/905,046
		First Named Inventor: Christine Cheng, et al.	
		Filing Date: July 12, 2001	

U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
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		5,845,265		Thomas G. Woolston	12-01-1998	
		6,047,264		Alan S. Fisher, et al.	04-04-2000	
		6,061,448		Jeffrey C. Smith, et al.	05-09-2000	
		6,085,176		Thomas G. Woolston, et al.	07-04-2000	
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		6,202,051	B1	Thomas G. Woolston	03-13-2001	
	0.0	6,243,691	B1	Alan S. Fisher, et al.	06-05-2001	

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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